

COMPANY (OR COMMUNITY BANK) WHERE SHARES ARE HELD

Shareholder Name(s) (as it appears on the Register)

Shareholder Address (as it appears on the Register)



PO Box 454
Bendigo VIC 3552

03 5443 0344

shareregistry@afsbendigo.com.au
afsbendigo.com.au/shareholders

Minor(s) Statement and Indemnity

- ✓ Please use a black pen and print in CAPITAL letters.
- ✓ Please refer to instructions on the back of this form.

A Statutory Declaration

I/We do solemnly and sincerely declare I am/we are the sole guardian(s) for the registered holder named above of _____ shares.

Number of Shares _____

The above named shareholder is not yet of the legal age of consent as per certified copy of birth certificate provided herewith and cannot legally deal with matters pertaining to the holding.

I/We hereby request that the shares be registered in my/our name(s) and address as detailed below.

Full Name(s) of Guardian(s) _____

Address (to be Recorded on the Register) _____

City/Suburb/Town _____

State _____

Postcode _____

In consideration of the share issuer registering the shares in my/our name(s) I/we hereby covenant to indemnify and forever keep indemnified the share issuer, the directors and trustees of the share issuer, AFS & Associates Pty Ltd and the directors and officers of AFS & Associates Pty Ltd from and against all losses in respect thereof and all claims, actions, proceedings, demands, costs and expenses whatsoever which may be made or brought against them by reason of compliance with this request.

B Sign and Date

I/We authorise you to act in accordance with my/our instructions set out above.

Guardian 1

Sign _____

Print _____

Guardian 2

Sign _____

Print _____

Guardian 3

Sign _____

Print _____

Witness of Guardian 1

Sign _____

Full Name _____

Qualification _____

Witness of Guardian 2

Sign _____

Full Name _____

Qualification _____

Witness of Guardian 3

Sign _____

Full Name _____

Qualification _____

The witness(es) certifies that the person(s) who has/have signed this statement is/are known to them and has/have signed in the presence of the witness with their normal signature(s).

____ / ____ / ____

Day Month Year

()

Contact Number



C Attachments – Birth Certificate and Share Certificate

Please ensure that a certified copy of the minor shareholder’s birth certificate is attached.
Please ensure that all original share certificates are attached.

How to complete this form

This form is used to correct a shareholding name where shares were incorrectly recorded in the name(s) of a minor(s). This form is completed by the guardian(s) of the minor(s) to record the shares in their name(s) in trust for the minor(s).

A Statutory Declaration

- Enter the number of shares relevant to the minor(s) holding.
- Enter the full name(s) of the guardian(s) responsible for the minor(s).
- Enter the address that the holding is to be recorded on the register.

B Sign and Date

This form is to be signed by all the minor(s) guardian(s).

Power of Attorney: To sign as power of attorney attach a certified copy of the power of attorney to this form if not previously provided to the registry.

Witness Requirements: Persons who may witness the signing of a statutory declaration include:

- | | | | |
|-----------------------------------|---|--|--------------------------|
| ▪ Chartered Accountant | ▪ Member of the Police Force | ▪ Barrister or Solicitor | ▪ Pharmacist |
| ▪ Certified Practising Accountant | ▪ Australian Defence Force Officer | ▪ Diplomatic or Consular Officer | ▪ Notary Public |
| ▪ Postmaster | ▪ Legally qualified Medical Practitioner | ▪ Sheriff or Deputy Sheriff | ▪ Officer of the Court |
| ▪ Justice of the Peace | ▪ Manager of a Bank or Credit Union or Building Society | ▪ Commissioner for Affidavits & Declarations | ▪ Minister of Parliament |
| ▪ Veterinary Surgeon | | | ▪ Marriage Celebrant |

Overseas – Please complete this statutory declaration in accordance with the laws of the country in which it is declared and ensure it is legally witnessed.

C False Statements

The *Corporations Act 2001* imposes severe penalties for making a false statement (\$10,000 or two years imprisonment or both) or failing to ensure a statement is not false or misleading (\$5,000 or one year imprisonment or both).

D Attachments

Please attach:

- Certified copy of birth certificate
- Original share certificate(s)

If the original share certificate has been lost or destroyed please also complete the relevant Certificate Replacement Request form. You may download this from <http://www.afsbendigo.com.au/share-registry-guides-and-forms/>

E Please return your completed form and documents to:

**AFS & Associates Pty Ltd
Share Registry
PO Box 454
Bendigo VIC 3552**