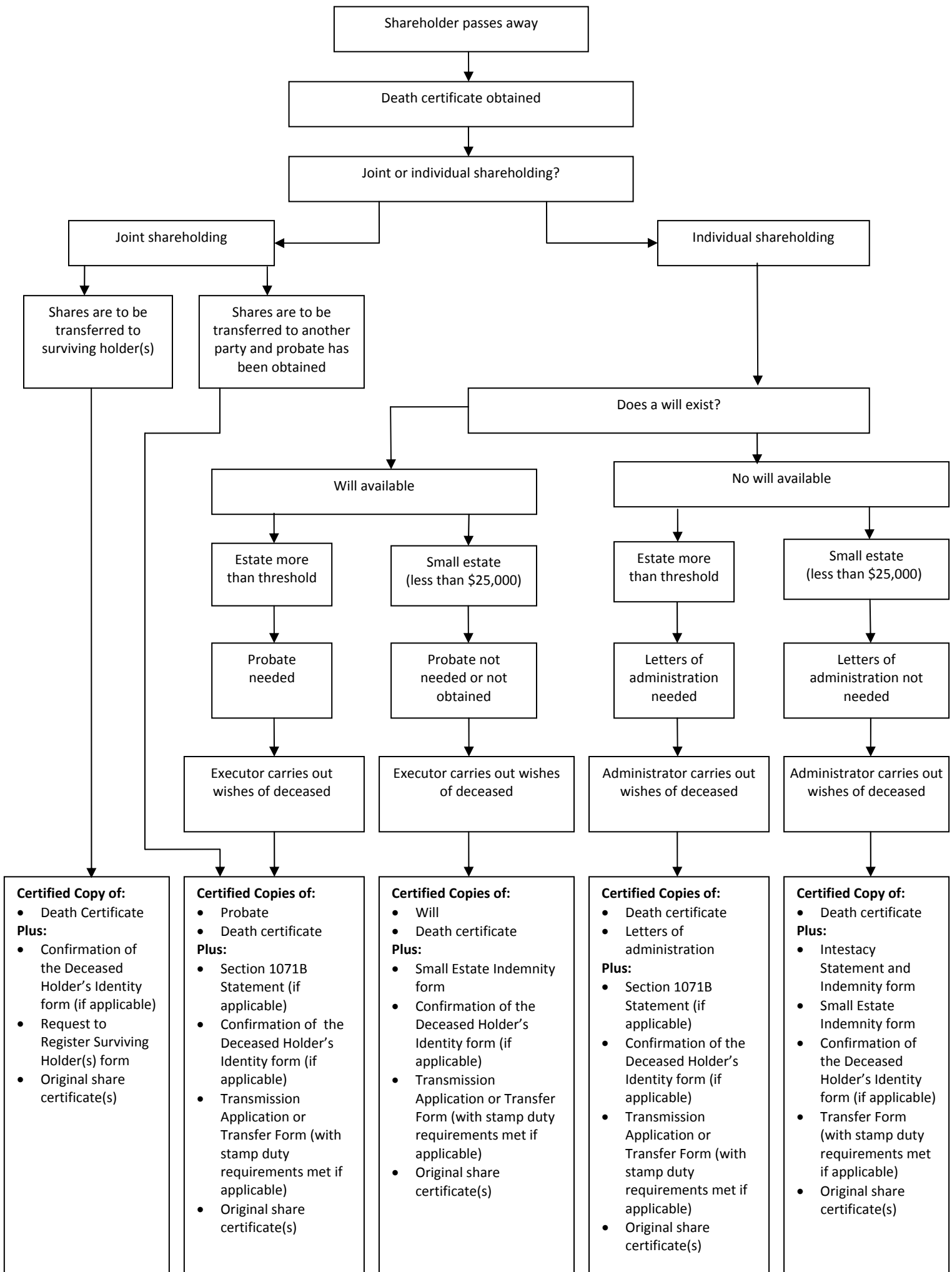


## Requirements for Dealing with Shares of a Deceased Estate – Flow Chart



**Certified Copy of:**

- Death Certificate

**Plus:**

- Confirmation of the Deceased Holder's Identity form (if applicable)
- Request to Register Surviving Holder(s) form
- Original share certificate(s)

**Certified Copies of:**

- Probate
- Death certificate

**Plus:**

- Section 1071B Statement (if applicable)
- Confirmation of the Deceased Holder's Identity form (if applicable)
- Transmission Application or Transfer Form (with stamp duty requirements met if applicable)
- Original share certificate(s)

**Certified Copies of:**

- Will
- Death certificate

**Plus:**

- Small Estate Indemnity form
- Confirmation of the Deceased Holder's Identity form (if applicable)
- Transmission Application or Transfer Form (with stamp duty requirements met if applicable)
- Original share certificate(s)

**Certified Copies of:**

- Death certificate
- Letters of administration

**Plus:**

- Section 1071B Statement (if applicable)
- Confirmation of the Deceased Holder's Identity form (if applicable)
- Transmission Application or Transfer Form (with stamp duty requirements met if applicable)
- Original share certificate(s)

**Certified Copy of:**

- Death certificate

**Plus:**

- Intestacy Statement and Indemnity form
- Small Estate Indemnity form
- Confirmation of the Deceased Holder's Identity form (if applicable)
- Transfer Form (with stamp duty requirements met if applicable)
- Original share certificate(s)